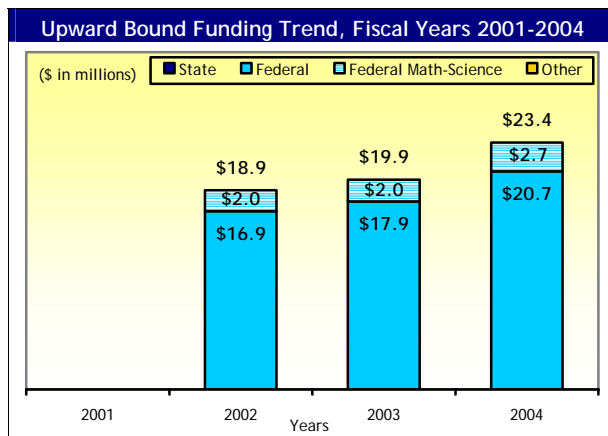


Summary by the Texas State Auditor's Office on:

Upward Bound

34 Code of Federal Regulation Chapter 6, Part 645

Funding Sources, Fiscal Year 2004	
Federal	State Appropriations
\$23,432,205	\$ 0
Other \$ 0	
Funding Method	Competitive grants
No. of Grants	75
Range	\$220,000 - \$613,357
Eligible Entities	Colleges, universities, and other organizations



Upward Bound Funds, Fiscal Years 2001-2004	
Year	Federal Award to Tx Grantees
2001	UA
2002	\$ 18,897,020
2003	\$ 19,905,796
2004	\$ 23,432,205
Totals	UA

LEAs, Other Entities, Students, and Parents Served ^a	
LEAs	Students
UA	UA
226	4,154
229	4,218
245	4,879
Totals	UA

UA -Unavailable NA - Category does not apply NYA- Not yet available (as of report date)

^a These numbers are understated because two universities or colleges did not provide complete information on their Upward Bound programs. A small number of students outside of Texas participate in summer Upward Bound programs at Texas universities.

Targeted Students and Grade Levels

Upward Bound serves students who completed 8th grade, are between the ages of 13 and 19, and have a need for academic support in order to pursue a program of postsecondary education. Two-thirds of the participants must be both low-income and potential first-generation college students. The remaining one-third must be either low-income or potential first-generation college students.

Program Components

Program Component	Required/Recommended/Allowed
Counseling/Case Management	✓
Diagnostics-Based Intervention	
Academic Intervention	✓
Small Group Instruction/Limited Class Size	
School-Day or Out-of-School Activity	Out-of-School
Computer Assisted Instruction	
Literacy/ESL/Bilingual Instruction	✓
College Preparation	✓

Program Component	Required/Recommended/Allowed
Career Preparation	✓
Mentoring	✓
Professional Development	
Parental Involvement/Education	
Community Involvement/Services/Enrichment	
Pregnancy and Parenting Services	
Children's Day Care	
Safe Environment	

The information in these program summaries has been compiled from multiple sources of varying reliability and is unaudited. Sources include the Texas Education Agency, the U.S. Department of Education, the Texas Department of Family and Protective Services, universities, colleges, and other nonprofit organizations.

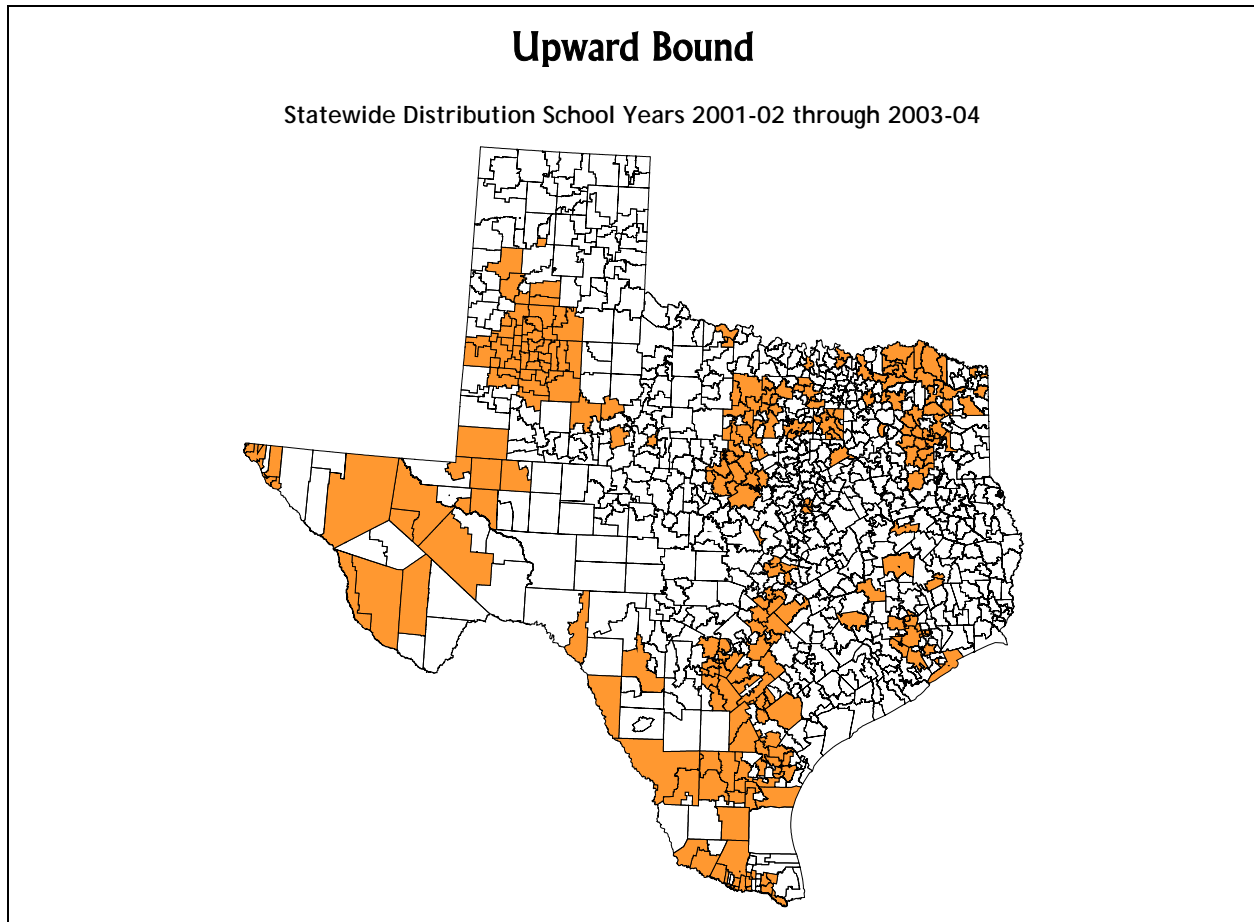
Comments

Upward Bound is a federal program that provides basic support to students preparing for college entrance. Upward Bound serves high school students from low-income families and high school students from families in which neither parent holds a college degree. By supporting academic success for high school students, Upward Bound is intended to increase the rates at which students enroll in and graduate from colleges and universities. Upward Bound activities, including those of a separate component called Upward Bound Math and Science, provide supplemental instruction in math, laboratory science, composition, literature, and foreign language, in addition to other services.

Available Evaluation*

An outside evaluator reviewed the Upward Bound program at a Texas university in 2002 and found that the program was making satisfactory progress toward its objectives and was serving a vital purpose for the target area. The report, entitled *Evaluation Report*, concluded that with continued funding Upward Bound would continue to facilitate the academic, personal, and professional success of its participants. However, it also noted that without a documented risk-based selection process, the university might not have been serving the at-risk students targeted by the federal government. Another state university conducted a compliance review of its Upward Bound program for fiscal year 2002 and found that the program was being properly managed and was operating in accordance with program guidelines. A 1989 U.S. Department of Education evaluation of another Texas university's program found that the participating students made progress and were able to do well in their college programs and recommended that the U.S. Department of Education expand the program.

* In most cases it is not possible to isolate the effects of funding for a single program on students' performance because districts applying for state funding for at-risk students are required to combine local, state, and federal resources to maximize services to at-risk students. For the same reason, a single program's cost does not provide a meaningful basis for determining the cost per student of a desired or achieved outcome.



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